

# 1 Comparison of arterial spin labeling registration strategies in the 2 multi-centre GENetic Frontotemporal dementia Initiative (GENFI)

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### Abbreviation key

ASL = arterial spin labeling; BET = brain extraction tool; CBF = cerebral blood flow; CSF = cerebrospinal fluid; DARTEL = Diffeomorphic Anatomical Registration analysis using Exponentiated Lie algebra; EPI = echo-planar imaging; FSL = FMRIB software library; FTD = frontotemporal dementia; FWHM = full width at half maximum; GENFI = GENetic Frontotemporal dementia Initiative; GM = gray matter; GRASE = gradient-echo and spin-echo; MNI = Montreal neurological institute; pGM = gray matter probability; PASL = pulsed ASL; PCASL = pseudo-continuous ASL; PLD = post-label delay; PSF = point spread function; PWI = perfusion-weighted image; SC = similarity coefficient; SNR = signal-to-noise ratio; SPM = statistical parametric mapping; T1w = T1-weighted; WM = white matter

### Running head: Comparison of ASL registration strategies

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## 1 **Abstract**

2 **Purpose** To compare registration strategies to align arterial spin labeling (ASL) with  
3 3D T1-weighted (T1w) images, with the goal of reducing the between-subject variability of  
4 cerebral blood flow (CBF) images.

5 **Materials and Methods** Multi-center 3T ASL data were collected at 8 sites with 4  
6 different sequences in the multi-centre GENetic Frontotemporal dementia Initiative  
7 (GENFI) study. In a total of 48 healthy controls, we compared the following image  
8 registration options: I) which images to use for registration (perfusion-weighted images to  
9 the segmented gray matter (GM) probability map (pGM) [CBF-pGM] or M0 to T1w [M0-  
10 T1w]); II) which transformation to use [rigid-body or non-rigid] and III) whether to mask  
11 or not [no masking, M0-based FSL BET masking]. In addition to visual comparison, we  
12 quantified image similarity using the Pearson correlation coefficient (CC), and used the  
13 Mann-Whitney U rank sum test.

14 **Results** CBF-pGM outperformed M0-T1w (CC improvement  $47.2\% \pm 22.0\%$   
15 ( $p < 0.001$ ), and the non-rigid transformation outperformed rigid-body ( $20.6\% \pm 5.3\%$ ,  
16  $p < 0.001$ ). Masking only improved the M0-T1w rigid-body registration ( $14.5\% \pm 15.5\%$ ,  
17  $p = 0.007$ ).

18 **Conclusion** The choice of image registration strategy impacts ASL group analyses. The  
19 non-rigid transformation is promising but requires validation. CBF-pGM rigid-body  
20 registration without masking can be used as a default strategy. In patients with expansive  
21 perfusion deficits, M0-T1w may outperform CBF-pGM in sequences with high effective  
22 spatial resolution. BET-masking only improves M0-T1w registration when the M0 image  
23 has sufficient contrast.

1

2 **Keywords:** arterial spin labeling, cerebral blood flow, image registration

## 1 **Introduction**

2 Arterial spin labeling (ASL) is a non-invasive MRI perfusion technique with great potential  
3 to advance our understanding of the pathophysiology underlying neurodegenerative  
4 diseases such as frontotemporal dementia (FTD) (1, 2). Multi-center perfusion studies may  
5 help to establish ASL as an imaging biomarker with the ability to study brain physiology, to  
6 predict neurodegenerative disease onset and characteristics, as well as to monitor effects  
7 of potential disease-modifying drugs (1, 3, 4). An important step in the analysis of ASL  
8 studies is establishing standardized image processing methods (5).

9  
10 A major challenge is the registration of ASL images to anatomical 3D T1-weighted (T1w)  
11 images, as there are inherent differences in image contrast, resolution and geometric  
12 distortion (2). Because of the relatively large cerebral blood flow (CBF) contrast between  
13 gray (GM) and white matter (WM) tissue, small alignment errors can have a large impact  
14 (6).

15  
16 Once ASL data are aligned to the T1w images, the non-linear registration of T1w images to  
17 a common stereotactic space can be performed with relatively high precision, facilitating  
18 the identification of anatomical landmarks, creation of regions of interest, or performing  
19 group analyses (7, 8). In other words, the registration of ASL images to T1w images  
20 indirectly affects the alignment of CBF images between participants and consequently the  
21 ability of ASL to detect localized perfusion differences on a group level (8). Currently, there  
22 is no consensus on which registration strategies should be used for reliable and robust ASL  
23 image processing.

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One obstacle in multi-center ASL studies is the heterogeneity in implementations, stemming both from the preferences of individual research groups and from the differences in commercially available ASL implementations from the major MRI vendors (9). As different centers employ scanners from different vendors, this contributes to the between-site variability and degrades statistical power for group inference (4, 10, 11). One major difference between ASL implementations is the design of the readout module. This leads to differences in effective spatial resolution, which is not only dependent on the reconstructed voxel-size but also on the acquisition point spread function (PSF), motion sensitivity and filtering procedures in the image reconstruction and post-processing (10, 12). These differences in image contrast may affect the registration performance and should be evaluated as part of the development of standardized ASL image processing methods (2).

The present study investigates three methodological components involved in subject-wise registration of ASL images to T1w images: I) which ASL and T1w images should be used for registration – i.e. which image contrast results in an optimal registration (CBF to the GM probability map (pGM) [CBF-pGM] or M0 to T1w [M0-T1w]), II) which transformation algorithm should be used [rigid-body or non-rigid] and III) whether brain masking can improve registration [no masking or M0-based FSL BET masking]. A detailed motivation of these options can be found in the supplementary methods section.



## 1 **Materials and Methods**

### 2 **Study design**

3 Data for this study were drawn from the GENetic Frontotemporal dementia Initiative  
4 (GENFI) (13), a multi-center study aimed at identifying early brain changes in individuals  
5 who have a genetic risk of developing FTD. Inclusion and exclusion criteria are described  
6 elsewhere (13). Local ethical review boards approved the GENFI protocol and all  
7 participants provided written informed consent according to the declaration of Helsinki.  
8 For the first GENFI data freeze – encompassing data collection from January 2012 to  
9 September 2013 – 8 centers acquired ASL and T1w scans using the following 3T MR  
10 scanners and parameters: General Electric 3T MR750 with 3D spiral fast spin-echo pseudo-  
11 continuous ASL (PCASL) (3D spiral, 1 site), Philips Achieva 3T with 2D gradient-echo echo-  
12 planar imaging (EPI) PCASL (2D EPI, 3 sites) and 3T Siemens Trio with 3D gradient- and  
13 spin-echo pulsed ASL (PASL) (3D GRASE, 4 sites). One Philips site used different settings  
14 (background suppression, long post-label delay (PLD), referred to as 2D EPI Bsup)  
15 compared to two other sites (no background suppression, short PLD, referred to as 2D EPI  
16 noBsup). The four Siemens sites used an identical protocol (14), based on flow-sensitive  
17 alternating inversion recovery (FAIR) PASL with a defined bolus width (15). An M0 image  
18 was acquired for all ASL sequences except for 2D EPI noBsup (2). The T1w scan protocols  
19 were designed at the outset of GENFI to match them across scanners as much as possible.  
20 To avoid the confound of gene mutation effects on ASL perfusion, data for the current study  
21 were selected from healthy, unaffected participants who were mutation negative for one of  
22 three major FTD disease causing genes – i.e. *C9ORF72*, *GRN* or *MAPT*. 12 healthy control  
23 subjects were randomly selected for each of the four ASL implementations (n=48 in total,

1 16 men/32 women, mean age  $50.0 \pm 16.1$  years). Table 1 and Figure 1 provide an overview  
2 of the four different ASL implementations.

3

#### 4 **Image processing**

5 Image processing was performed with *ExploreASL*, an ASL toolbox developed to facilitate  
6 quality control and analyses for single- or multi-center ASL studies (9, 12). This toolbox is  
7 based on Matlab 7.12.0 (MathWorks, MA, USA), Statistical Parametric Mapping (SPM) 12  
8 (Wellcome Trust Centre for Neuroimaging, University College London, UK) and  
9 Diffeomorphic Anatomical Registration analysis using Exponentiated Lie algebra (*DARTEL*)  
10 (4, 7). The processing is separated below into pre-registration parts containing ASL and  
11 T1w image processing to create intermediate images used for registration, the comparison  
12 of registration strategies and post-registration parts including the transformation to  
13 common space and CBF quantification (Figure 2).

14

#### 15 **Image processing: T1w processing before registration**

16 The T1w images were segmented into gray matter (pGM), white matter (pWM),  
17 cerebrospinal fluid and soft tissue probability maps after rigid-body realignment with the  
18 Montreal Neurological Institute (MNI) template. The segmentation was used to mask the  
19 skull out of the original T1w image. These T1w and pGM images were used as reference  
20 images for the M0-T1w and CBF-pGM registrations respectively.

21

#### 22 **Image processing: ASL processing before registration**

1 3D rigid-body motion estimation was performed for the complete ASL time series,  
2 accounting for the signal intensity differences between control and label images as a zig-  
3 zag regressor (16). Afterwards, control-label pairs with the largest motion artifacts were  
4 discarded based on optimization of the mean GM temporal signal-to-noise ratio SNR (17).  
5 Motion correction was subsequently performed to create intermediate images used for  
6 registration. A voxel-wise outlier rejection was applied based on PWI signal intensities  
7 above or below the mean  $\pm 3$  temporal standard deviation, after which time series were  
8 averaged. These steps were conducted for the datasets in which ASL time series were  
9 available (2D EPI and 3D GRASE). For the 3D spiral data, the average PWI – the CBF image  
10 – was directly provided by the scanner. The M0 image was rigid-body registered to the  
11 mean control image for 2D EPI Bsup and 3D GRASE (2). The mean control image of 2D EPI  
12 noBsup was used as a surrogate M0 image, because this sequence did not have an M0 and  
13 did not use background suppression. The 3D spiral M0 image was not registered to the CBF  
14 image, because this did not improve its alignment on visual inspection.

15

### 16 **Image processing: Transformation options**

17 Before all registrations, the CBF image was clipped below zero and above the 95% non-  
18 zero quantile to remove potential macrovascular signal. For both the M0-T1w and CBF-  
19 pGM registration, a rigid-body SPM12 transformation was evaluated. An additional non-  
20 rigid transformation was only evaluated for the CBF-pGM approach. For the rigid-body  
21 transformation the 6-parameter SPM12 *coregister* method was used with default SPM12  
22 settings, which optimizes a normalized mutual information objective function (18). For the  
23 non-rigid transformation, a *DARTEL* template was created separately for each participant

1 from a CBF and a pGM image, using default SPM12 settings (7). The same rigid-body  
2 registration as described above was performed to provide a starting point for the non-rigid  
3 transformation. The non-rigid transformation was not tested for M0-T1w because it would  
4 require a mutual information or cross-correlation cost function and DARTEL only supports  
5 a sum-of-square cost function.

6

### 7 **Image processing: Masking options**

8 M0-based FSL BET masking was compared with no masking. In each instance, the same  
9 mask was applied to both the intermediate CBF and M0 images that were used for  
10 registration (not to the final images). The BET masks (Figure 3.2) were created by  
11 extracting the brain from the M0 image using BET2 with multiple iterations (option -r)  
12 (19).

13

### 14 **Image processing: Transformation to common space**

15 The transformation obtained by the T1w segmentation was used to resample the pGM and  
16 pWM images into MNI space. These resampled images (i.e. 2 tissue type images x 48  
17 participants) were used to create a group-wise *DARTEL* template. After all registrations  
18 were performed, these transformations were combined to transform all T1w and ASL  
19 images to common space for evaluation. In common space, a total GM mask was obtained  
20 by thresholding the pGM template per slice at 25%. For all intermediate and final images,  
21 the joint transformation from ASL native space to 1.5x1.5x1.5 mm<sup>3</sup> MNI common space –  
22 including motion correction and multiple registrations – was applied in a single resampling  
23 step, to minimize the accumulation of interpolation artifacts.

1

**2 Image processing: CBF quantification**

3 PWI were converted into CBF images using a single compartment quantification model,  
4 assuming that the label decays with the blood T1 relaxation rate (2). Before dividing the  
5 PWI by the M0 reference image, the M0 image was masked (i.e. clipped below 20% non-  
6 zero quantile) and subsequently smoothed with a 12 mm FWHM Gaussian kernel to reduce  
7 the M0 image to a smooth bias field and avoid the propagation of CBF-M0 registration  
8 effects into the CBF quantification (20). For each registration option, the resultant CBF  
9 images were scaled to a mean GM CBF of 50 mL/100g/min per ASL sequence (21), to  
10 reduce the potential confounding effects of other sequence-specific scaling factors such as  
11 background suppression, the effect of background suppression on labeling efficiency and  
12 incomplete longitudinal magnetization recovery.

13

**14 Qualitative evaluation**

15 All processing steps (intermediate images) and final images were visually inspected by HM  
16 and JP, with >5 years of image processing of multiple ASL sequences. Sequences were  
17 visually rated for their effective spatial resolution by looking at the GM-WM CBF contrast  
18 and the tissue contrast on the M0 images.

19

**20 Quantitative evaluation: Pearson correlation coefficient**

21 To quantify the similarity between two images, we used the Pearson correlation coefficient  
22 (CC). The CC ranges from 0 (completely dissimilar) to 100% (identical images). Assuming  
23 that a near perfect registration should still yield small differences between images due to

1 physiological CBF variability, we regard Pearson CC > 50% as excellent agreement. The  
2 Pearson CC was computed per pair of CBF images, resulting in  $[n(n-1)]/2 = 66$  unique pair-  
3 wise comparisons within one sequence (n=12) and 1128 unique pair-wise multi-sequence  
4 comparisons (n=48). The population distribution of the Pearson CC was summarized by the  
5 median  $\pm$  mean absolute difference (MAD). Significance of differences was tested by a  
6 Mann-Whitney U rank sum test. Statistical significance was defined as  $p < 0.05$ .

## 1 **Results**

### 2 **Pre-registration sequence features and qualitative brain masking performance**

3 Sequence features that were observed at a single participant level include large B1-field  
4 inhomogeneity in the 3D GRASE images (green arrows Figure 3), macro-vascular artifacts  
5 on some 2D EPI noBsup images (blue arrows Figure 3.1), a perceived nose perfusion on the  
6 3D spiral images (yellow arrow Figure 3.1). The sequences visually differed in their  
7 effective spatial resolution (i.e. smoothness), from low to high effective resolution in the  
8 following order: 3D spiral, 3D GRASE, 2D EPI Bsup and 2D EPI noBsup. This was confirmed  
9 by their GM-WM CBF ratios:  $1.84 \pm 0.14$  (3D spiral),  $2.85 \pm 0.50$  (2D EPI Bsup),  $5.62 \pm 1.28$   
10 (2D EPI noBsup),  $2.53 \pm 0.45$  (3D GRASE). FSL BET was visually able to mask the brain on  
11 the 2D sequences but was less robust for the 3D sequences, especially for 3D spiral (red  
12 arrows Figure 3.2).

13

### 14 **Qualitative comparison of registration strategies**

15 Figure 4 shows the mean CBF images for all registration strategies, for individual  
16 sequences and on a multi-sequence level. Differences between sequences and registration  
17 strategies can be appreciated by the CBF contrast between GM and WM, with higher GM-  
18 WM CBF contrast and a sharper GM-WM boundary reflecting improved alignment of CBF  
19 images between participants. This is visible as a sharper delineated GM region (yellow-red  
20 colors), a narrower light-blue color region of GM-WM transition and a larger dark-blue WM  
21 region (Figure 4). Irrespective of transformation or masking, the GM-WM CBF contrast was  
22 higher for the CBF-pGM registration (Figure 4.3 and Figure 4.4) than for M0-T1w (Figure  
23 4.2) on a multi-sequence level, and mostly for 2D EPI Bsup and 3D GRASE, also for 3D

1 spiral, but not for 2D EPI noBsup. The non-rigid transformation resulted in a higher image  
2 contrast than the rigid-body transformation on a group level, and mostly for single  
3 sequences 2D EPI Bsup and 3D GRASE. BET-masking visually improved the M0-T1w rigid-  
4 body registration on a multi-sequence level, and for single sequences 2D EPI Bsup and 3D  
5 GRASE (Figure 4.1).

6

### 7 **Quantitative comparison of registration strategies**

8 Table 2 shows the median total GM Pearson CC values for all registration strategies, for  
9 individual sequences (n=12) and on a multi-sequence level (n=44). The CC variability  
10 between participants was relatively high for 2D EPI noBsup (22.0-30.7%), intermediate for  
11 3D spiral (6.3-15.8%) and 3D GRASE (4.5-22.9%) and relatively low for 2D EPI Bsup (4.5-  
12 12.8%).

13

14 With a rigid-body transformation and without masking, CBF-pGM provided  $47.2\% \pm 22.0\%$   
15 ( $p < 0.001$ ) higher Pearson CC than the M0-T1w registration, when considering the multi-  
16 sequence (i.e. all data) comparison. On a single sequence level this CC difference was  
17 statistically significant for all sequences (CC improvement for 3D spiral was  $15.9\% \pm$   
18  $10.4\%$ ,  $p = 0.003$ ; 2D EPI Bsup  $36.1\% \pm 6.4\%$ ,  $p < 0.001$ ; 3D GRASE  $48.8\% \pm 16.5\%$ ,  $p < 0.001$ )  
19 except for 2D EPI noBsup ( $4.5\% \pm 7.0\%$ ,  $p = 0.396$ ). For CBF-pGM without masking, the non-  
20 rigid transformation outperformed the rigid-body transformation on the multi-sequence  
21 comparison (CC improvement  $20.6\% \pm 5.3\%$ ,  $p < 0.001$ ) and on a single sequence level for  
22 3D spiral ( $6.9\% \pm 1.8\%$ ,  $p = 0.029$ ), 2D EPI Bsup ( $16.3\% \pm 1.9\%$ ,  $p < 0.001$ ), 2D EPI noBsup  
23 ( $22.2\% \pm 7.8\%$ ,  $p = 0.041$ ) and 3D GRASE ( $17.1\% \pm 1.7\%$ ,  $p < 0.001$ ).



1  
2 FSL BET improved the M0-T1w CC on a multi-sequence level ( $14.5\% \pm 15.5\%$ ,  $p=0.007$ )  
3 and on a single sequence level improved CC for 2D EPI Bsup ( $30.0\% \pm 8.7\%$ ,  $p<0.001$ ) and  
4 3D GRASE ( $19.9\% \pm 20.1\%$ ,  $p=0.016$ ) but not for 3D spiral ( $0.4\% \pm 1.6\%$ ,  $p=0.481$ ) or 2D  
5 EPI noBsup ( $-0.4\% \pm 2.4\%$ ,  $p=0.487$ ).

6  
7 FSL BET did not improve the CBF-pGM registrations on a multi-sequence level ( $-0.6\% \pm$   
8  $1.0\%$ ,  $p=0.722$ ) or for the individual sequences (3D spiral  $0.0\% \pm 0.0\%$ ,  $p=0.487$ , 2D EPI  
9 Bsup  $0.0\% \pm 0.0\%$ ,  $p=0.534$ , 2D EPI noBsup  $-0.9\% \pm 1.3\%$ ,  $p=0.578$ , 3D GRASE  $-4.0\% \pm$   
10  $1.8\%$ ,  $p=0.951$ ).

11

## 12 **Optimal registration strategies**

13 Figure 5 shows the CBF images for the two registration strategies with the visually highest  
14 GM-WM CBF contrast and highest Pearson CC. Visual differences between sequences can  
15 still be appreciated, with 3D spiral showing a homogeneous CC image and the 2D  
16 sequences showing highest GM-WM contrast. The 3D GRASE images appear in between the  
17 3D spiral and 2D EPI images in terms of image homogeneity and GM-WM CBF contrast. The  
18 non-rigid transformation (Figure 5.2) visually showed a higher GM-WM CBF contrast  
19 compared with the rigid-body transformation (Figure 5.1). This is visible in Figure 5 as a  
20 deeper red color in a sharper delineated GM region, as well as a narrower light-blue color  
21 region. This difference was observed for all sequences but was visually largest for the 2D  
22 sequences and on a multi-sequence level.

## 1 **Discussion**

2 The main results of this study were threefold: I) the registration of CBF-pGM images  
3 outperformed M0-T1w, II) the non-rigid transformation outperformed the rigid-body  
4 transformation and III) FSL BET-masking improved the M0-T1w registration for 2D EPI  
5 Bsup and 3D GRASE. These results were similar for the qualitative and quantitative  
6 analysis. Although it may be less important for analyses within large region-of-interests,  
7 these findings highlight the importance of adequate registration procedures for voxel-  
8 based analyses or accurate partial volume correction.

9  
10 Both the M0-T1w registration strategy and M0-based BET performed poorly for the 3D  
11 sequences, which we attribute to the relatively low contrast of these smooth M0 images.  
12 Apparently, for acquisitions with relatively low effective resolution, such as 3D spiral, the  
13 smooth M0 contrast is challenging for registration whereas the CBF contrast is preserved  
14 even when the PSF is significantly larger than the nominal voxel resolution. Another factor  
15 that may explain the poorer performance of the M0-T1w approach compared to the CBF-  
16 pGM approach, is the requirement of two registration steps to be optimized, both the CBF-  
17 M0 and the M0-T1w registration. This can be problematic when background suppression  
18 reduces the contrast of the control image that is used for the CBF-M0 registration. For the  
19 CBF-pGM registration, any CBF-M0 misalignment is much less problematic, as the M0  
20 image is only used for quantification, for which the M0 image can be spatially smoothed to  
21 reduce the effect of misalignment (2, 20). This may also explain why the 2D EPI noBsup  
22 sequence was the only sequence for which the CBF-pGM did not outperform the M0-T1w

1 strategy, as for this sequence the mean control image was used as the M0, in which case the  
2 CBF-M0 alignment is perfect by design.

3

4 Increased registration performance with the non-rigid transformation has previously also  
5 been reported for diffusion tensor imaging (5). For ASL, this registration optimization may  
6 especially be of value to improve the correction of partial volume effects (22). However, we  
7 believe that the assumption that the pGM is a high-resolution CBF image is not completely  
8 valid, as it assumes both WM and cerebrospinal fluid (CSF) CBF to be 0, whereas the WM  
9 CBF may vary from 0.2 to 0.5 of the GM CBF value, depending on the ASL sequence in  
10 addition to physiology (6, 12). This potential mismatch between CBF and pGM images can  
11 explain the visually apparent cortical thinning and the apparent remodeling of the  
12 subcortical perfusion pattern by the non-rigid transformation in comparison to the rigid-  
13 body transformation. Future research should investigate to what extent the reduced  
14 variance between CBF images by the non-rigid transformation is the result of improved  
15 alignment, or whether this transformation also reduced meaningful perfusion variance  
16 between participants, and how this will affect group analyses. Furthermore, it is unclear  
17 how the non-rigid transformation will perform in the presence of perfusion deficits,  
18 although non-rigid transformations have been designed to be robust to pathophysiological  
19 differences between images to a certain extent (7). For these reasons, we are cautiously  
20 optimistic that non-rigid registration is promising but future studies that directly address  
21 how the non-rigid transformation reduces perfusion variance between participants – also  
22 in the presence of pathological perfusion deficits – is encouraged as subject of future  
23 research.

1 The fact that masking improved M0-T1w but not the CBF-pGM registration was surprising,  
2 considering the presence of artifacts in some CBF images, such as frontal in the 3D spiral  
3 images. Perhaps, the CBF-pGM contrast and/or image similarity is already sufficient such  
4 that it is not impacted by the CBF artifacts, whereas the M0-T1w contrast and/or image  
5 similarity is lower and still benefits from improvement by masking (23). Higher Pearson CC  
6 were obtained with the M0-T1w registration for 2D EPI Bsup than with 2D EPI noBsup.  
7 These higher CC may be due to the larger contrast in the M0 image compared to the mean  
8 control image. This could also contribute to improved skull-stripping, which can explain  
9 why the CC improved significantly with BET skull-stripping for 2D EPI Bsup but not for 2D  
10 EPI noBsup.

11  
12 Our ranking of sequences based on their effective spatial resolution, deduced by visual  
13 inspection and the GM-WM CBF ratios, was in agreement with previous studies (10, 12).  
14 The two 2D EPI readouts showed very different GM-WM CBF ratios, which is probably not  
15 only explained by the differences in voxel size. The different noise properties because of  
16 differences in background suppression and post-label delay may also have contributed to  
17 the GM-WM CBF ratio. Interestingly, the effective spatial resolution of each sequence was  
18 associated with its Pearson CC. For instance, the 3D spiral sequence had the lowest  
19 effective spatial resolution and the highest Pearson CC, whereas the sequence with the  
20 highest effective resolution – 2D EPI noBsup – showed the lowest CC . It can be envisioned  
21 that the smooth images of a high SNR but low effective resolution sequence have a lower  
22 between-subject voxel-wise CBF variability, resulting in higher correlations. In other

1 words, the comparison of Pearson CC between sequences is complicated by differences in  
2 effective resolution.

3

4 These observations highlight the importance of further readout design development for 3D  
5 ASL sequences, as the loss of effective spatial resolution due to blurring associated with  
6 long echo trains may detract when it comes to group level analyses, where  
7 accurate registration is an important component. Reducing the echo train length by  
8 increasing the number of shots could improve the PSF of the 3D sequences but this would  
9 increase the acquisition duration and make them more prone to smoothing caused by head  
10 motion. Our data encourage the development of approaches to increase the effective spatial  
11 resolution of 3D acquisitions, such as incorporation of parallel imaging or sparse data  
12 sampling.

13

14 Furthermore, these effective resolution differences between sequences were still visible  
15 after optimal registration. Therefore, different ASL sequences can be expected to contribute  
16 differently to group analyses, with respect to their effective spatial resolution and  
17 consequential partial volume errors. Although these observations also encourage to further  
18 adapt image processing for these sequence differences in directions such as adaptive  
19 smoothing, deblurring or partial volume correction (22, 24), they emphasize the  
20 importance of ASL sequence harmonization.

21

22 By design this study investigated registration approaches among healthy adults. Thus,  
23 results may vary in clinical populations since local perfusion deficits or severe atrophy

1 could contribute to differences between the CBF and pGM image and consequently lower  
2 registration performance – especially for non-rigid transformations. However, cortical  
3 thinning – the most prevalent structural deficit leading to focal reduced apparent perfusion  
4 due to partial volume effects – is expected to have a similar effect on CBF as on pGM (22).  
5 Furthermore, discrepancies between perfusion and anatomy that we expect to observe in  
6 dementias are often subtle, for which the CBF-pGM option will be sufficiently robust. A  
7 specific disparity between CBF and pGM is the presence of macro-vascular artifacts, which  
8 were visible in the 2D EPI noBsup sequence, because of the short PLD used in this  
9 sequence. Some CBF images of this sequence were more angiography-weighted, which we  
10 expect to have degraded the CBF-pGM performance. Although these cases are often  
11 excluded from analyses and sequences with longer PLDs or vascular crushing are expected  
12 to have less macro-vascular artifacts (2), the CBF-pGM registration should be used with  
13 caution in vascular compromised patients. The main advantage of CBF-pGM over M0-T1w  
14 is the similarity of image contrast, especially in smooth 3D sequences. Which extent of  
15 perfusion deficits or vascular artifacts will reduce this similarity of image contrast to the  
16 point where the CBF-pGM performs worse than M0-T1w, cannot be predicted with these  
17 data. For sequences with relatively high effective spatial resolution, the M0-T1w  
18 registration is a good alternative for CBF images with vascular artifacts, provided that  
19 adequate masking is performed.

20

21 Another limitation is that we did not compare all available registration strategies or  
22 settings but rather a selection of those that are most commonly used in the literature,  
23 deviating from SPM12 default settings as little as possible. From a practical perspective for

1 the standardization of ASL image processing, we aimed to compare registration strategies  
2 that are readily accessible through commonly used software packages such as AFNI, FSL or  
3 SPM. We did not evaluate registration options with images of different contrast – i.e. CBF-  
4 T1w or M0-pGM – because these options would not be beneficial in terms of registration  
5 quality but would only work optimally under the assumption of the absence of a bias field,  
6 and homogeneous GM and WM intensities. Additionally, these combinations require a  
7 mutual information cost function which is not practical for non-linear registrations with  
8 high degrees of freedom.

9  
10 The choice of registration strategy from the ASL image to the higher resolution anatomical  
11 reference image has an impact on single- or multi-center ASL perfusion studies. The CBF-  
12 pGM rigid-body registration without masking can be used for all ASL sequences as a default  
13 strategy. In patients with expansive perfusion deficits, M0-T1w rigid-body registration may  
14 outperform CBF-pGM and should be attempted as second-choice alternative for sequences  
15 with high effective spatial resolution. BET-masking only improves M0-T1w registration  
16 when the M0 image has sufficient contrast and effective spatial resolution. Better results  
17 can be achieved with the non-rigid transformation but this requires further validation. We  
18 anticipate that the standardization of ASL image processing will facilitate the development  
19 of ASL as a biomarker for diseases such as FTD.

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**Table 1.** Demographic and implementation characteristics for each sequence

	<b>3D spiral (1 site, n=12)</b>	<b>2D EPI Bsup (1 site, n=12)</b>	<b>2D EPI noBsup (2 sites, n=12)</b>	<b>3D GRASE (4 sites, n=12)</b>
Age (yrs)	39.7 ± 18.2	52.6 ± 11.4	44.4 ± 18.1	51.2 ± 14.2
Gender (M)	5/12	4/12	3/12	4/12
Scanner	3T General Electric MR750	3T Philips Achieva	3T Philips Achieva	3T Siemens Trio
Labeling strategy	PCASL	PCASL	PCASL	FAIR Q2TIPS PASL
Labeling duration	1450 ms	1650 ms	1650 ms	800 ms (TI <sub>i</sub> )
Post-labeling delay (PLD) (range)	1525 ms	1525- 2110 ms	1200-1878 ms	1200 ms (TI = 2000 ms)
PLD (mean)	1525 ms	1818 ms	1540 ms	1200 ms
Labeling plane planning	Fixed 22 mm below lower edge	89 mm below, parallel to ACPC line	89 mm below, parallel to ACPC line	Below lower edge of imaging slab (FAIR)
Readout module	3D FSE interleaved stack-of-spirals	2D gradient-echo single-shot EPI SENSE 2.5, CLEAR	2D gradient-echo single-shot EPI SENSE 2.5, CLEAR	3D GRASE
Acquisition matrix	8 spirals, 512 sampling points	single shot, 80 x 80	single-shot, 64 x 64	8 segments, 64 x 64
Number of slices	36	17	30	30
Slice thickness	4 mm	7 mm	4 mm	4 mm
Acquisition voxel size (volume)	3.75 x 3.75 x 4.0 mm (56 mm <sup>3</sup> )	3.0 x 3.0 x 7.0 mm (63 mm <sup>3</sup> )	3.75 x 3.75 x 4.0 mm (56 mm <sup>3</sup> )	3.75 x 3.75 x 4.0 mm (56 mm <sup>3</sup> )
Reconstruction voxel size	1.875 x 1.875 x 4.0 mm	3.0 x 3.0 x 7.0 mm	3.75 x 3.75 x 4.0 mm	1.875 x 1.875 x 4.0 mm
Slice gap	n.a.	0 mm	0 mm	n.a.
TE/TR	10.536/4632 ms	13.8/4020 ms	10-13/4000 ms	14.86/ 5000 ms
M0 sequence	Yes (TR 4.6 s)	Yes (TR 10 s, 3.5 mm slices)	No (mean control image used)	Yes (TR 5 s)
Number of signal averages	3	40	40	5
Background suppression (n pulses)	yes (5)	yes (2)	No	Yes (2)
Acquisition duration	4:19 min	5:22 min	5:20 min	5:24 min

ACPC = anterior-posterior commissure. ASL = arterial spin labeling, Bsup = background suppression, CLEAR = constant level appearance, EPI = echo-planar imaging, FAIR = flow-sensitive alternating inversion recovery, FSE = fast spin-echo, GRASE = gradient-echo and spin-echo, Q2TIPS = QUIPSS II with thin-slice T1 periodic saturation, QUIPSS II = quantitative imaging of perfusion using a single subtraction, second version, PASL = pulsed ASL, PCASL = pseudo-continuous ASL, SENSE = sensitivity encoding, TE = echo time, TR = repetition time.

**Table 2.** Pearson correlation coefficients

<b>Registration approach</b>	<b>3D spiral (n=12)</b>	<b>2D EPI Bsup (n=12)</b>	<b>2D EPI noBsup (n=12)</b>	<b>3D GRASE (n=12)</b>	<b>Multi-sequence (n=48)</b>
<b>M0-T1w no masking</b>	0.57 ± 0.09	0.39 ± 0.05	0.38 ± 0.10	0.35 ± 0.08	0.28 ± 0.11
Rigid-body					
<b>M0-T1w BET masking</b>	0.57 ± 0.09	0.52 ± 0.04	0.38 ± 0.11	0.43 ± 0.05	0.36 ± 0.11
Rigid-body					
<b>CBF-pGM no masking</b>	0.74 ± 0.06	0.56 ± 0.03	0.40 ± 0.12	0.56 ± 0.03	0.49 ± 0.10
Rigid-body					
Non-rigid	0.79 ± 0.05	0.66 ± 0.03	0.50 ± 0.11	0.67 ± 0.03	0.60 ± 0.09
<b>CBF-pGM BET masking</b>	0.74 ± 0.06	0.56 ± 0.03	0.39 ± 0.12	0.54 ± 0.05	0.47 ± 0.11
Rigid-body					
Non-rigid	0.79 ± 0.05	0.66 ± 0.03	0.49 ± 0.11	0.65 ± 0.04	0.59 ± 0.09

Pearson correlation coefficients illustrating the between-subject cerebral blood flow (CBF) similarity for individual sequences (n=12) and for all multi-center ASL data combined (n=48). The Pearson correlation coefficient was calculated for each image comparison, and of all unique pair-wise CBF image comparisons that were performed the median ( $\pm$  mean absolute difference from the mean) are shown here.

## Figure captions

**Figure 1.** Overview of different scans used for ASL image processing from a single representative subject from each ASL sequence (columns a-d). The rows show the images: 1) anatomical T1, 2) segmented gray matter probability map (pGM), 3) ASL reference image (M0), 4) perfusion-weighted image. For the 2D EPI sequence without background suppression (2D EPI noBsup) the mean control map is shown instead of an M0 image (3c). Note the fat shift aliasing artifact on this image. For illustrative purposes, all images were rigid-body registered, resampled to a 1.5x1.5x1.5 mm common space and scaled to the same median whole brain intensity.

**Figure 2.** Example images for the *ExploreASL* image processing pipeline from the first subject of the 2D EPI Bsup sequence. Note that some processing steps could be only applied to the 2D EPI or 3D GRASE sequences. The pipeline is subdivided into ASL (1<sup>st</sup> row) and T1w (3<sup>rd</sup> row) processing parts, which are connected by the registration strategies (2<sup>nd</sup> row) that are evaluated in the current study. Dashed lines connect the images used for the evaluation of registration strategies.

**Figure 3.** Sagittal slices of perfusion-weighted images (PWI) (1) and M0 images (2) after being masked with the FSL Brain Extraction Tool (BET), for the 12 subjects and for each sequence (i.e. one image per subject). All images are shown in native space, only stretched to fit the Figure. Image intensities are scaled in such a way that the minimal and maximal intensities are the same for each image. Arrows denote the intensity bias field on 3D GRASE PWI and M0 images (green), vascular artifacts on some 2D EPI noBsup PWI (blue), high nose perfusion artifacts on 3D spiral PWI (yellow) and imperfect skull-stripped rims around the brain (red).

**Figure 4.** Mean CBF images for each ASL implementation (columns a-d, f-i) and all combined (columns e and j). Rows correspond to the different ASL registration options. Bsup = background suppression. Data are shown without and with FSL Brain Extraction Tool (BET) masking. The CBF images were scaled to a mean GM CBF of 50 mL/100g/min per ASL sequence.

**Figure 5.** Multiple slices of mean cerebral blood flow images for the two best registration strategies, which were CBF-pGM rigid-body only (1) or with an additional non-rigid transformation (2). The CBF images were scaled to a mean GM CBF of 50 mL/100g/min per ASL sequence.

Figure 1

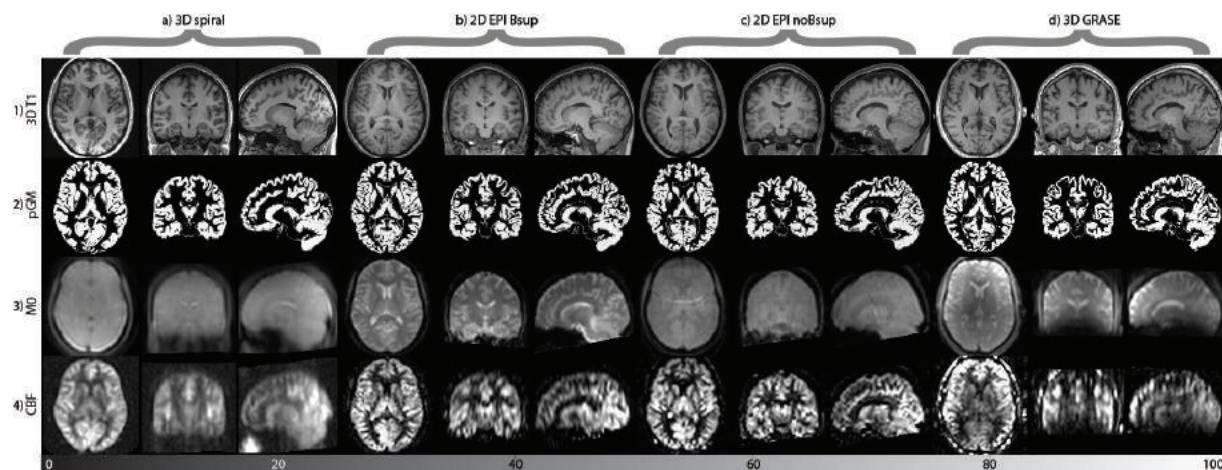


Figure 2

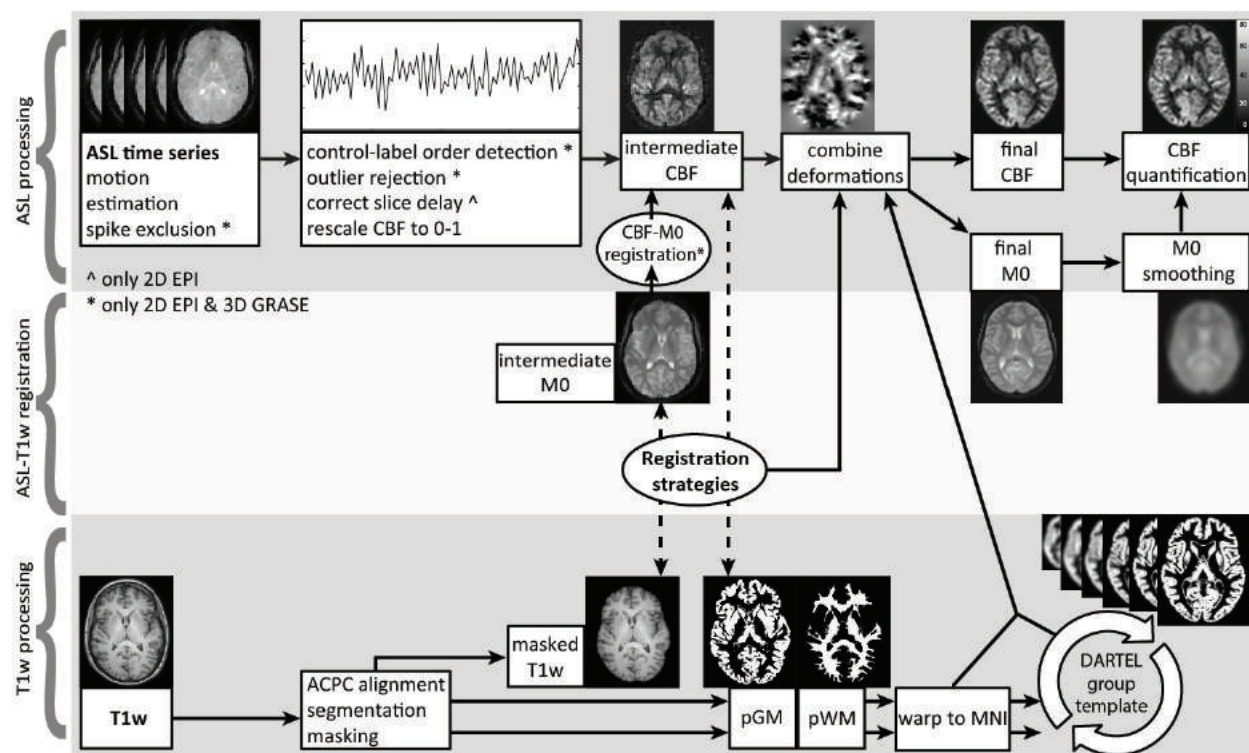


Figure 3

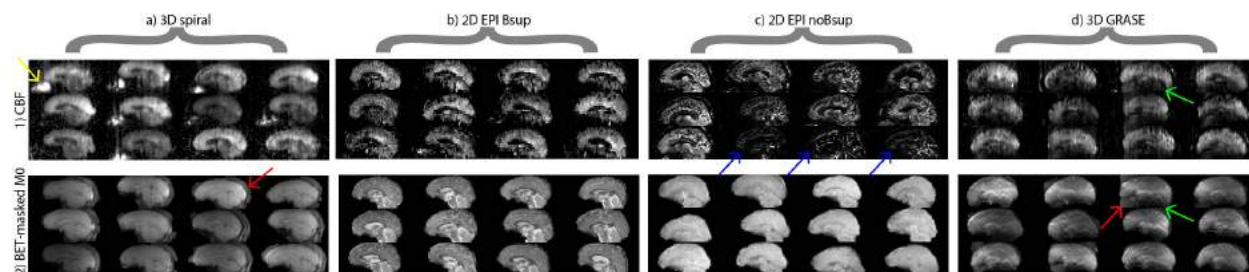


Figure 4

